



EXHIBIT A

DECEMBER 07, 2001

PTAS

BIRCH, STEWART, KOLASCH & BIRCH, LLP
GERALD M. MURPHY, JR.
P.O. BOX 747
FALLS CHURCH, VA 22040-0747

Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov



101862735A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/26/2001

REEL/FRAME: 012217/0597

NUMBER OF PAGES: 4

BRIEF: RE-RECORD TO CORRECT THE ASSIGNOR'S NAME, PREVIOUSLY RECORDED AT REEL 011797, FRAME 0164.

\ASSIGNOR:

GREN, TORKEL

DOC DATE: 04/12/2001

\ASSIGNOR:

RINGBERG, ANDERS

DOC DATE: 05/03/2001

\ASSIGNOR:

WIKBERG, MARTIN

DOC DATE: 04/27/2001

\ASSIGNOR:

WALD, RANDY J.

DOC DATE: 04/14/2001

\ASSIGNEE:

PHARMACIA AB

SE-112 87 STOCKHOLM, SWEDEN

PCT

\SERIAL NUMBER: 09763281

FILING DATE: 05/10/2001

PATENT NUMBER:

ISSUE DATE:

012217/0597 PAGE 2

TONYA LEE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

BIRCH, STEWART, KOLASCH & BIRCH, LLP

UNITED STATES PATENT RIGHTS, OR
UNITED STATES PLUS ALL FOREIGN PATENT RIGHTS

ASSIGNMENT

Application No. 09/763,281

Filed February 21, 2001

Insert Name(s)
of Inventor(s)

WHEREAS, Torkel GREN Anders RINGBERG
Martin WIKBERG Randy J. WALD

Insert Title
of Invention

(hereinafter designated as the undersigned) has (have) invented certain new and useful improvements in

NEW CONTROLLED RELEASE BEAD, A METHOD OF PRODUCING THE SAME AND MULTIPLE
UNIT FORMULATION COMPRISING IT

for which an application for Letters Patent of the United States of America has been executed by the undersigned (except in the case of a provisional application).

Insert Date
of Signing of
Application

on April 12, 2001; May 3, 2001; April 27, 2001; and April 14, 2001, respectively; and

Insert Name
of Assignee

WHEREAS, PHARMACIA AB

Insert Address
of Assignee

of SE-112 87 Stockholm SWEDEN

CHECK BOX
IF APPROPRIATE

its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent(s) that may be granted therefor in the United States of America and ☐ in any foreign countries.

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) to the undersigned in hand paid, the receipt of which is hereby acknowledged, and other good and valuable consideration, the undersigned has (have) sold, assigned and transferred, and by these presents does sell, assign and transfer unto said Assignee the full and exclusive right to the said invention in the United States of America, its territories, dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s) which may be granted therefor in the United States of America, its territories, dependencies and possessions, and if the box above is designated, in any and all foreign countries;

and to any and all divisions, reissues, continuations, conversions and extensions thereof for the full term or terms for which the same may be granted.

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional, conversion or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree (s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation, division, conversion or reissue thereof or Letter Patent(s) or reissue patent issued thereon and to cooperate with the Assignee in every way possible in obtaining and producing evidence and proceeding with such interference.

The undersigned agree(s) to execute all papers and documents and to perform any act which may be necessary in connection with claims or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States of America patent(s) or a grant of a valid United States of America and any foreign patent(s) to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorize(s) and request(s) the Patent and Trademark Office Officials in the United States of America and in any foreign countries to issue any and all Letters Patents resulting from said application or any continuing, divisional conversion or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) the full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the law firm of Birch, Stewart, Kolasch & Birch, LLP the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

The undersigned hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date 4/12/01

Name of Inventor Torkel Gren
(signature) Torkel GREN

Date 5/03/01

Name of Inventor Anders Ringberg
(signature) Anders RINGBERG

Date 4/27/01

Name of Inventor Martin Wikberg
(signature) Martin WIKBERG

Date 4/14/01

Name of Inventor Randy J. Wald
(signature) Randy J. WALD

Date _____

Name of Inventor _____
(signature)

Date _____

Name of Inventor _____
(signature)

10-03-2001



Atty. Docket No.: 1614-0246P

Page 1 of 1

101862735

RECORDATION FORM COVER SHEET

To the Honorable Commissioner of Patents and Trademarks:
Please record the attached original documents or copy thereof.

Name of conveying party(ies):

GREEN, Torkel
RINGBERG, Anders
WIKBERG, Martin
WALD, Randy J.

Additional name(s) of conveying party(ies) attached?

☐ YES ☒ NO

2. Name and address of receiving party(ies)

PHARMACIA AB
SE-112 87 Stockholm
Sweden

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: **April 12, 2001; May 3, 2001; April 27, 2001; and April 14, 2001, respectively**

Additional name(s) & address(es) attached? ☐ YES ☒ NO

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

09/763,281

B. Patent No.(s).

Additional numbers attached? ☐ YES ☒ NO

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **BIRCH, STEWART, KOLASCH & BIRCH, LLP**
Street Address: **P.O. BOX 747**
City: **FALLS CHURCH** State: **VA** ZIP: **22040-0747**
Country: **USA**

6. Total No. of applications/patents involved: **One (1)**7. Total fee (37 C.F.R. § 3.41): **\$40.00**

- ☒ Enclosed
☒ Authorized to be charged to deposit account, if no fee attached.

8. Deposit account number: **02-2448**

(Attach triplicate copy of this page
if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gerald M. Murphy, Jr., #28,977
Name of Person Signing/Reg. No.

by Rich L. Lillgren 28,781
Signature

September 26, 2001
Date

Total number of pages including cover sheet, attachments, and document: **Three (3)**

GMM/tm

MAY 10 2001

Atty. Docket No.: 1614-0246P

Page 1 of 1

RECO

05-17-2001

T

5-10-01

To the Honorable
Please record

101719726

marks:
hereof.

1. Name of conveying party(ies):

Torkel GREN, Anders RINGBERG, Marten WIKBERG
and Randy J. WALD

Additional name(s) of conveying party(ies) attached?

☐ YES ☒ NO

PHARMACIA AB

SE-112 87 Stockholm

Sweden

receiving party(ies)

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other:Execution Date: April 12, 2001; May 3, 2001; April 27,
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A. Patent Application No(s).

09/763,281

B. Patent No(s).

Additional numbers attached? ☐ YES ☒ NO5. Name and address of party to whom correspondence
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Street Address: P.O. BOX 747

City: FALLS CHURCH State: VA ZIP: 22040-0747

Country: USA

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Gerald M. Murphy, Jr., #28,977

Name of Person Signing/Reg. No.

Signature

May 10, 2001

Date

Total number of pages including cover sheet, attachments, and document: Three (3)

GMM/las

(Rev. 01/11/01)

Attention: BOX ASSIGNMENTS

PATENT

1614-0246P



IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: Torkel GREN et al. Conf.: 5301
Appl. No.: 09/763,281 Group: 1615
Filed: May 10, 2001 Examiner: A. PULLIAM
For: NEW CONTROLLED RELEASE BEAD, A METHOD
OF PRODUCING THE SAME AND MULTIPLE UNIT
FORMULATION COMPRISING IT

LETTER

BOX ASSIGNMENTS

September 26, 2001

Assistant Commissioner for Patents
and Trademarks
Washington, DC 20231

Sir:

Corrections are being made to the originally filed Recording of Assignment cover sheet, pursuant to 37 C.F.R. §3.34, with respect to the above-identified application.

A review of the Assignment and the Notice of Recordation reflects that a typographical error occurred in the typing of **third inventor's first name**. The Recording of Assignment Cover Sheet is now corrected to properly reflect the correct spelling of the third inventor's first name, namely

--MARTIN--

A new Recording of Assignment cover sheet is attached as well, for which a check in the amount of \$40.00 is attached.

With the corrections being underlined, please insure that the Corrected Notice of Recordation reads:

[WIKBERG, MARTEN]: WIKBERG, MARTIN

Please provide a Corrected Notice of Recordation incorporating the above correction.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By Rich M. Murphy 28,781
for Gerald M. Murphy, Jr., #28,977

P.O. Box 747
Falls Church, VA 22040-0747
(703) 205-8000

GMM/RG/tm
1614-0246P

ATTACHMENTS: New Recordation Cover Sheet
Copy of Notice of Recordation
Copy of previous Recordation Cover Sheet
Copy of Assignment
Check in the amount of \$40.00



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

JULY 31, 2001

PTAS
BIRCH, STEWART, KOLASCH & BIRCH, LLP
GERALD M. MURPHY, JR.
P.O. BOX 747
FALLS CHURCH, VA 22040-0747



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RECORDATION DATE: 05/10/2001

REEL/FRAME: 011797/0164
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

GREN, TORKEL

DOC DATE: 04/12/2001

ASSIGNOR:

RINGBERG, ANDERS

DOC DATE: 05/03/2001

ASSIGNOR: MARTIN

WIKBERG, MARTEN

DOC DATE: 04/27/2001

ASSIGNOR:

WALD, RANDY J.

DOC DATE: 04/14/2001

ASSIGNEE:

PHARMACIA AB

SE-112 87 STOCKHOLM, SWEDEN

PER

SERIAL NUMBER: 09763281

FILING DATE: 05/10/2001

PATENT NUMBER:

ISSUE DATE:

011797/0164 PAGE 2

PAULA MCCRAY, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

Attention: BOX ASSIGNMENTS
PATENT
1614-0246P

IN THE U.S. PATENT AND TRADEMARK OFFICE

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Appl. No.: 09/763,281 Group: 1615
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By Rich M. Murphy 28,781
for Gerald M. Murphy, Jr., #28,977

P.O. Box 747
Falls Church, VA 22040-0747
(703) 205-8000

26
GMM/RG/tm
1614-0246P

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Copy of previous Recordation Cover Sheet
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WIKBERG, Martin
WALD, Randy J.

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☐ YES ☒ NO

2. Name and address of receiving party(ies)

PHARMACIA AB
SE-112 87 Stockholm
Sweden

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☐ Security Agreement ☐ Change of Name
☐ Other:

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A. Patent Application No(s).

09/763,281

B. Patent No.(s).

Additional numbers attached? ☐ YES ☒ NO

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **BIRCH, STEWART, KOLASCH & BIRCH, LLP**
Street Address: **P.O. BOX 747**
City: **FALLS CHURCH** State: **VA** ZIP: **22040-0747**
Country: **USA**

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- ☒ Enclosed
☒ Authorized to be charged to deposit account, if no fee attached.

8. Deposit account number: **02-2448**

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Gerald M. Murphy, Jr., #28,977
Name of Person Signing/Reg. No.

By *Richard L. Murphy* 28,781
Signature

September 26, 2001
Date

Total number of pages including cover sheet, attachments, and document: **Three (3)**

GMM/tm

CERTIFICATION OF MAILED DOCUMENT(S) TO U.S.P.T.O.

The undersigned hereby certifies that the correspondence indicated on the attached photocopy of the postcard receipt was reviewed and found to be in proper order for filing in the U.S.P.T.O. by

- ☐ Certificate of Mailing Procedures (This correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231; on the below indicated date.)
- ☒ Hand Carry to the U.S.P.T.O. mailroom by MRS. CHIN/MISS LEE on the below indicated date.
- ☐ Telefaxed to the U.S.P.T.O. on the below indicated date in accordance with the procedures for faxing documents to the U.S.P.T.O. Upon successful transmission to the U.S.P.T.O., a copy of the facsimile Transaction Report will be attached herewith.

Signed: Edelbrand F. Maroney Date: 9-26-01

APPL. NO.: 09/763,281
ATTORNEY DOCKET NO.: 1614-0246P
RESPONSIBLE ATTY./SECY.: G. H. M. / J. E. M.
DUE DATE: - None -

Papers Filed Herein on: 9-26-01
DOCKET NO. 1614-0246P ATTY. G. H. M. / J. E. M.
APPLICANT(S) General Electric Corp.
APPL. NO. 09/763,281 FILED: May 13, 2001
PAT. NO.:

DOCKET NO. 1614-0246P

(Rev. 04/19/2000)

☐ New Application with Transmittal Letter
☐ Utility ☐ Design ☐ CIP ☐ PCT ☐ Provisional
☐ Filing Under 37 CFR 1.53(b) ☐ CONT ☐ DIV
☐ Filing Under 37 CFR 1.53(d) (CPA)
☐ Filing Under 37 CFR 1.114 (RCE) _____ pages
☐ Specification Consisting of: _____
☐ Combined Declaration & Power of Attorney
☐ Assignment / Cover Letter
☐ Letter to Official Draftsman
☐ Drawings _____ Sheets ☐ Formal ☐ Informal ☐ Red-ink
☐ Completion of Filing Requirements, PCT/DOE/0905
or Formalities Letter and Executed Declaration
☐ Priority Document(s) / Cover Letter, No. Doc. _____
☐ Amendment
☐ Transmittal Ltr ☐ Large Entry ☐ Small Entry
☐ Response
☐ Information Disc Stmt. PTO-1449(s) _____ rel(s)
☐ Notice of Appeal ☐ Appeal Brief
☐ Issue Fee Transmittal
☒ FEES: \$400.00 (Check # 4020061)
☒ Letter Representing Continuation of Original. Rec.
☒ Other After Rejection/Withdrawal Effect
Copy of Papers Rec. Govt. Sheet
Copy of Papers Rec. Invent.
Receipt is hereby acknowledged of the papers filed as indicated in connection with the above identified case.
COMMISSIONER OF PATENTS AND TRADEMARKS
Due Date: None
Handcarry:

BIRCH, STEWART, KOLASCH AND BIRCH, LLP

CPO ACCOUNT
8110 GATEHOUSE ROAD, SUITE 500 EAST
P.O. BOX 747
FALLS CHURCH, VA 22040-0747SUNTRUST BANK
Alexandria, Virginia68-107
560

4020061

PAY

Forty & 00/100 Dollars

DOLLARS

TO THE
ORDER
OF

COMMISSIONER OF PATENTS AND TRADEMARKS

I.D. NO.

DATE

AMOUNT

09/26/01

\$40.00

FOR RECORDING FEE

513446 1614-0246P

EM

RECORDING ERROR

#04020061# :0560010791: 2025649831#

Papers Filed herewith on: 9-26-01
DOCKET NO.: 1614-0246P ATTY: GHH/RS
APPLICANT(S): Teakel GREEN et al.
APPLN. NO: 09/763,281 FILED: May 10, 2001
PAT NO.:

- ☐ New Application with Transmittal Letter
☐ Utility ☐ Design ☐ CIP ☐ PCT ☐ Provisional
☐ Filing Under 37 CFR 1.53(b) ☐ CONT ☐ DIV
☐ Filing Under 37 CFR 1.53(d) (CPA)
☐ Filing Under 37 CFR 1.114(RCE)
☐ Specification Consisting of: _____ pages
☐ Combined Declaration & Power of Attorney
☐ Assignment / Cover Letter
☐ Letter to Official Draftsman
☐ Drawings _____ Sheets ☐ Formal ☐ Informal ☐ Red-Ink
☐ Completion of Filing Requirements, PCT/DO/EO/905
 or Formalities Letter and Executed Declaration
☐ Priority Document(s) / Cover Letter, No. Doc. _____
☐ Amendment: _____
☐ Transmittal Ltr ☐ Large Entity ☐ Small Entity
☐ Response _____
☐ Information Disc Simnl. PTO-1449(s) _____ ref(s)
☐ Notice of Appeal ☐ Appeal Brief
☐ Issue Fee Transmittal

- ☒ FEES: \$40.00 (Check # 4020061)
☒ Letter: Requesting Correction of Assignment. Rec.
☒ Other: New Recordation Cover Sheet
- Copy of Previous Rec. Cover Sheet
- Copy of Assignment

Receipt is hereby acknowledged of the papers filed as
indicated in connection with the above identified case.
COMMISSIONER OF PATENTS AND TRADEMARKSDue Date: - None -
Handcarry: _____

DOCKET NO.

1614-0246P



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

JULY 31, 2001

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101719726A

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RINGBERG, ANDERS

DOC DATE: 05/03/2001

ASSIGNOR: ~~MARTEN~~
WIKBERG, ~~MARTEN~~

DOC DATE: 04/27/2001

ASSIGNOR:
WALD, RANDY J.

DOC DATE: 04/14/2001

ASSIGNEE:
PHARMACIA AB
SE-112 87 STOCKHOLM, SWEDEN

SERIAL NUMBER: 09763281
PATENT NUMBER:

Pat
FILING DATE: 05/10/2001
ISSUE DATE:

011797/0164 PAGE 2

PAULA MCCRAY, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS